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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

KRISTIN K. MAYES – Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

Arizona Corporation Commission

DOCKETED

DEC 7 2010

DOCKETED BY

*PD88*

IN THE MATTER OF:

ULF OLOF HOLGERSSON and LAVERNE J.  
ABE, formerly husband and wife, doing business  
as Viking Asset Management, an Arizona  
registered trade name,

DOCKET NO. S-20762A-10-0416

RESPONDENTS.

PROCEDURAL ORDER

**BY THE COMMISSION:**

On October 14, 2010, the Securities Division (“Division”) of the Arizona Corporation Commission (“Commission”) filed a Notice of Opportunity for Hearing (“Notice”) against Ulf Olof Holgersson and LaVerne J. Abe, formerly husband and wife, dba Viking Asset Management (“Viking”) (collectively “Respondents”), in which the Division alleged multiple violations of the Arizona Securities Act (“Act”) in connection with the offer and sale of securities in the form of stock and notes.

The Respondents were duly served with a copy of the Notice.

On November 1, 2010, Respondents, Ulf Olof Holgersson and LaVerne J. Abe, each filed a request for hearing in this matter.

On November 3, 2010, by Procedural Order, a pre-hearing conference was scheduled on December 2, 2010.

On December 2, 2010, the Division appeared with counsel and Respondents appeared on their own behalf at the pre-hearing conference. The parties may discuss a possible settlement. In the interim, the Division requested that a hearing be scheduled in late April 2011 in the event that the matter is not resolved by the parties.

Accordingly, a hearing should be scheduled.

1 IT IS THEREFORE ORDERED a **hearing** shall be held on **April 19, 2011, at 10:00 a.m.**, at  
2 the Commission's offices, 1200 West Washington Street, Hearing Room 1, Phoenix, Arizona.

3 IT IS FURTHER ORDERED that the parties shall set aside **April 20 and 21, 2011**, for  
4 **additional days of hearing**, if necessary.

5 IT IS FURTHER ORDERED that that the **Division and Respondents shall exchange copies**  
6 **of their Witness Lists and copies of the Exhibits by March 9, 2011**, with courtesy copies provided  
7 to the presiding Administrative Law Judge.

8 IT IS FURTHER ORDERED that **if the case is resolved by a proposed Consent Order(s)**  
9 **prior to the hearing, the Division shall file a Motion to Vacate the Proceeding.**

10 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized  
11 Communications) is in effect and shall remain in effect until the Commission's Decision in this  
12 matter is final and non-appealable.

13 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules  
14 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission  
15 *pro hac vice*.

16 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance  
17 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the  
18 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances  
19 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
20 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
21 Administrative Law Judge or the Commission.

22 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
23 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
24 ruling at hearing.

25 DATED this 7th day of December, 2010.

26  
27   
28 MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

1 Copies of the foregoing mailed/delivered  
2 this 7th day of December, 2010 to:

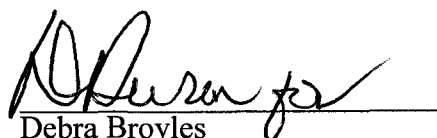
3 Ulf Olof Holgersson  
4 Skridskogatan 11  
5 72240 Västerås  
6 Sweden

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7 15236 North 6<sup>th</sup> Circle  
8 Phoenix, AZ 85023

8 Matt Neubert, Director  
9 Securities Division  
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13 Phoenix, AZ 85004-1481

14 By:

  
Debra Broyles  
Secretary to Marc E. Stern